

"Express Mail" mailing label number EL491233706US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER		
CASM115748		
U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)		
09/600,824		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/AU99/00039	18 January 1999	21 January 1998 and 21 May 1998
TITLE OF INVENTION		
METHOD OF VERIFYING THE AUTHENTICITY OF A SECURITY DOCUMENT AND DOCUMENT FOR USE IN SUCH A METHOD		
APPLICANT(S) FOR DO/EO/US		
1. Gerard Joseph WILSON 2. Paul ZIENTEK		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information by Express Mail:

- \_\_\_\_\_ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- X   2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 37 U.S.C. 371.
- \_\_\_\_\_ 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- \_\_\_\_\_ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- \_\_\_\_\_ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - \_\_\_\_\_ a. is transmitted herewith (required only if not transmitted by the International Bureau).
  - \_\_\_\_\_ b. has been transmitted by the International Bureau.

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- \_\_\_\_\_ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- \_\_\_\_\_ 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- \_\_\_\_\_ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- \_\_\_\_\_ a. are transmitted herewith (required only if not transmitted by the International Bureau).
- \_\_\_\_\_ b. have been transmitted by the International Bureau.
- \_\_\_\_\_ c. have not been made; however, the time limit for making such amendments has NOT expired.
- \_\_\_\_\_ d. have not been made and will not be made.
- \_\_\_\_\_ 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- X   9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- \_\_\_\_\_ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

- \_\_\_\_\_ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- X   12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- \_\_\_\_\_ 13a. A FIRST preliminary amendment.
- \_\_\_\_\_ 13b. A SECOND or SUBSEQUENT preliminary amendment.
- \_\_\_\_\_ 14. A substitute specification.
- \_\_\_\_\_ 15. A change of power of attorney and/or address letter.
- X   16. Other items or information:
- a. Copy of Notification of Missing Requirements dated August 9, 2000.

<u>  X  </u> 17. The following fees are submitted:				<b>CALCULATIONS</b> <small>PTO USE ONLY</small>	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b>					
Search Report has been prepared by the EPO or JPO ..... \$840					
International preliminary examination fee paid to USPTO (37 CFR 1.482) .. \$670					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$690					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$-- --	
Surcharge of \$130 for furnishing the oath or declaration later than ____ 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	57- 20 =	37	X \$18	\$	
Independent claims	5- 3 =	2	X \$78	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$260	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$130	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$130	
Processing fee of \$130 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$	
<b>TOTAL NATIONAL FEE =</b>				\$130	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				\$40	
<b>TOTAL FEES ENCLOSED =</b>				\$170	
				Amount to be: refunded	\$
				charged	\$

LAW OFFICES OF  
 CHRISTENSEN O'CONNOR  
 JOHNSON & KINDNESS<sup>PLLC</sup>  
 1420 Fifth Avenue  
 Suite 2800  
 Seattle, Washington 98101  
 (206) 682-8100


- X   17a. Two checks totaling \$170 to cover the above fees are enclosed.  
Check No. 120409 for \$130 and Check No. 120408 for \$40.
- 17b. Please charge my Deposit Account No.        in the amount of \$        to  
cover the above fees. A duplicate copy of this sheet is enclosed.
- X   17c. The Commissioner is hereby authorized to charge any additional fees which  
may be required, or credit any overpayment to Deposit Account No. 03-1740.  
A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

Gary S. Kindness  
CHRISTENSEN O'CONNOR JOHNSON & KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, WA 98101

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON & KINDNESS<sup>PLLC</sup>

 Reg No 22946  
Gary S. Kindness  
Registration No. 22,178  
Direct Dial (206) 695-1702

**EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number EL491233706US

Date of Deposit September 8, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Maria Wood

(Typed or printed name of person mailing paper or fee)

Maria Wood  
(Signature of person mailing paper or fee)

GSK/PAS:sah

LAW OFFICES OF  
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UNITED STATES DEPARTMENT OF COMMERCE  
**Patent and Trademark Office**  
 Address: ASSISTANT COMMISSIONER FOR PATENTS  
 Box PCT  
 Washington, D.C. 20231

U.S. APPLICATION NO. 09/600824	FIRST NAMED APPLICANT WILSON	ATTY. DOCKET NO. G
GARY S KINDNESS 1420 FIFTH AVENUE SUITE 2800 SEATTLE, WA 98101		
INTERNATIONAL APPLICATION NO. PCT/AU99/00039		
I.A. FILING DATE 18 JAN 99	PRIORITY DATE 21 JAN 98	
DATE MAILED 09 AUG 2000		

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☐ a non-English language.
  - ☒ English.
- ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☒ Preliminary amendment(s) filed 20 JUL 00 and \_\_\_\_\_
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_
- ☐ Verified Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
- ☐ Other:

RECEIVED  
 DOCKETING  
 SEP AUG 17 2000  
 CHRISTENSEN, O'CONNOR  
 JOHNSON & KINDNESS

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

- ☐ PCT/DO/EO/917
- ☐ Notice of Defective Translation
- ☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

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